

**MPRI Concurrency (course number 2-3) 2004-2005:  
 $\pi$ -calculus**

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<http://pauillac.inria.fr/~leifer/teaching/mpri-concurrency-2004/>

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## About the lectures

- The MPRI represents a transition from *student* to *researcher*. So...
- Interrupting me with questions is good.
- Working through a problem without already knowing the answer is good.
- I'll make mistakes. 8-)

## About me

- 1995–2001: Ph.D. student of Robin Milner's in Cambridge, UK
- 2001–2002: Postdoc in INRIA Rocquencourt, France
- 2002–: Research scientist in INRIA Rocquencourt, France
- November 2004: voted against W (who, despite this, was elected for the first time)

## Books

- Robin Milner. *Communicating and mobile systems: the  $\pi$ -calculus*. (Cambridge University Press, 1999).
- Robin Milner. *Communication and concurrency*. (Prentice Hall, 1989).
- Davide Sangiorgi and David Walker. *The  $\pi$ -calculus: a theory of mobile processes*. (Cambridge University Press, 2001).

## Tutorials available online

- Robin Milner. “The polyadic pi-calculus: a tutorial”. Technical Report ECS-LFCS-91-180, University of Edinburgh.  
<http://www.lfcs.inf.ed.ac.uk/reports/91/ECS-LFCS-91-180/ECS-LFCS-91-180.ps>
- Joachim Parrow. “An introduction to the pi-calculus”.  
<http://user.it.uu.se/~joachim/intro.ps>
- Peter Sewell. “Applied pi — a brief tutorial”. Technical Report 498, University of Cambridge. <http://www.cl.cam.ac.uk/users/pes20/apppi.ps>

# Today's plan

- syntax
- reduction semantics and structural congruence
- labelled transitions
- bisimulation

# Syntax

$P ::= \bar{x}y.P$	output
$x(y).P$	input ( $y$ binds in $P$ )
$\nu x.P$	restriction (new) ( $x$ binds in $P$ )
$P \mid P$	parallel (par)
$0$	empty
$!P$	replication (bang)
...	

Significant difference from CCS: channels carry names.

## Free names

The free names of  $P$  are written  $\text{fn}(P)$ .

*Example:*  $\text{fn}(\mathbf{0}) = \emptyset$ ;  $\text{fn}(\bar{x}y.z(y).\mathbf{0}) = \{x, y, z\}$ .

*Exercise:* Calculate  $\text{fn}(z(y).\bar{x}y.\mathbf{0})$ ;  $\text{fn}(\nu z.(z(y).\bar{x}y) \mid \bar{y}z)$ .

Formally:

$$\begin{aligned}\text{fn}(\bar{x}y.P) &= \{x, y\} \cup \text{fn}(P) \\ \text{fn}(x(y).P) &= \{x\} \cup (\text{fn}(P) \setminus \{y\}) \\ \text{fn}(\nu x.P) &= \text{fn}(P) \setminus \{x\} \\ \text{fn}(P \mid P') &= \text{fn}(P) \cup \text{fn}(P') \\ \text{fn}(\mathbf{0}) &= \emptyset \\ \text{fn}(!P) &= \text{fn}(P)\end{aligned}$$

## Alpha-conversion

We consider processes up to alpha-conversion: provided  $y' \notin \text{fn}(P)$ , we have

$$\begin{aligned}x(y).P &= x(y').\{y'/y\}P \\ \nu y.P &= \nu y'.\{y'/y\}P\end{aligned}$$

*Exercise:* Freshen all bound names:  $\nu x.(x(x).\bar{x}x) \mid x(x)$

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# Reduction ( $\longrightarrow$ )

We say that  $P$  reduces to  $P'$ , written  $P \longrightarrow P'$ , if this can be derived from the following rules:

$$\bar{x}y.P \mid x(u).Q \longrightarrow P \mid \{y/u\}Q \quad (\text{red-comm})$$

$$\frac{P \longrightarrow P'}{P \mid Q \longrightarrow P' \mid Q} \quad (\text{red-par})$$

$$\frac{P \longrightarrow P'}{\nu x.P \longrightarrow \nu x.P'} \quad (\text{red-new})$$

*Example:*  $\nu x.(\bar{x}y \mid x(u).\bar{u}z) \longrightarrow \nu x.(\mathbf{0} \mid \bar{y}z)$

As currently defined, reduction is too limited:

$$\begin{aligned} (\bar{x}y \mid \mathbf{0}) \mid x(u) &\not\longrightarrow \\ \nu w.\bar{x}y \mid x(u) &\not\longrightarrow \end{aligned}$$

# Structural congruence ( $\equiv$ )

$$P \mid (Q \mid S) \equiv (P \mid Q) \mid S \quad (\text{str-assoc})$$

$$P \mid Q \equiv Q \mid P \quad (\text{str-commut})$$

$$P \mid \mathbf{0} \equiv P \quad (\text{str-id})$$

$$\nu x. \nu y. P \equiv \nu y. \nu x. P \quad (\text{str-swap})$$

$$\nu x. \mathbf{0} \equiv \mathbf{0} \quad (\text{str-zero})$$

$$\nu x. P \mid Q \equiv \nu x. (P \mid Q) \quad \text{if } x \notin \text{fn}(Q) \quad (\text{str-ex})$$

$$!P \equiv P \mid !P \quad (\text{str-repl})$$

We close reduction by structural congruence:

$$\frac{P \equiv \longrightarrow \equiv P'}{P \longrightarrow P'} \quad (\text{red-str})$$

*Exercise:* Calculate the reductions of  $\nu y. (\bar{x}y \mid y(u). \bar{u}z) \mid x(w). \bar{w}v$  and  $\bar{x}y \mid \nu y. (x(u). \bar{u}w \mid y(v))$

# Application of new binding: from polyadic to monadic channels

Let us extend our notion of *monadic* channels, which carry exactly one name, to *polyadic* channels, which carry a vector of names, i.e.

$$\begin{array}{ll} P ::= \bar{x}\langle y_1, \dots, y_n \rangle.P & \text{output} \\ & x(y_1, \dots, y_n).P \quad \text{input } (y_1, \dots, y_n \text{ bind in } P) \end{array}$$

Is there an encoding from polyadic to monadic channels? We might try:

$$\begin{aligned} \llbracket \bar{x}\langle y_1, \dots, y_n \rangle.P \rrbracket &= \bar{x}y_1 \dots \bar{x}y_n. \llbracket P \rrbracket \\ \llbracket x(y_1, \dots, y_n).P \rrbracket &= x(y_1) \dots x(y_n). \llbracket P \rrbracket \end{aligned}$$

but this is broken! Can you see why? The right approach is use new binding:

$$\begin{aligned} \llbracket \bar{x}\langle y_1, \dots, y_n \rangle.P \rrbracket &= \nu z. (\bar{x}z. \bar{z}y_1 \dots \bar{z}y_n. \llbracket P \rrbracket) \\ \llbracket x(y_1, \dots, y_n).P \rrbracket &= x(z). z(y_1) \dots z(y_n). \llbracket P \rrbracket \end{aligned}$$

where  $z \notin \text{fn}(P)$  in both cases. (We also need some well-sorted assumptions.)

# Application of new binding: from synchronous to asynchronous output

In distributed computing, sending and receiving messages may be asymmetric: we clearly know when we have received a message but not necessarily when a message we sent has been delivered. (Think of email.)

$$\begin{array}{ll} P ::= \bar{x}y & \text{output} \\ & x(y).P \quad \text{input } (y \text{ binds in } P) \end{array}$$

Nonetheless, one can always achieve synchronous sends by using an *acknowledgement* protocol:

$$\begin{aligned} \llbracket \bar{x}y.P \rrbracket &= \nu z. (\bar{x}\langle y, z \rangle \mid z().\llbracket P \rrbracket) \\ \llbracket x(y).P \rrbracket &= x(y, z).(\bar{z}\langle \rangle \mid \llbracket P \rrbracket) \end{aligned}$$

provided  $z \notin \text{fn}(P)$  in both cases.

# Labels

The labels  $\alpha$  are of the form:

$\alpha ::= \bar{x}y$	output
$\bar{x}(y)$	bound output
$xy$	input
$\tau$	silent

The names  $n(\alpha)$  and bound names  $bn(\alpha)$  are defined as follows:

$\alpha$	$\bar{x}y$	$\bar{x}(y)$	$xy$	$\tau$
$n(\alpha)$	$\{x, y\}$	$\{x, y\}$	$\{x, y\}$	$\emptyset$
$bn(\alpha)$	$\emptyset$	$y$	$\emptyset$	$\emptyset$

## Labelled transitions ( $P \xrightarrow{\alpha} P'$ )

Labelled transitions are of the form  $P \xrightarrow{\alpha} P'$  and are generated by:

$$\bar{x}y.P \xrightarrow{\bar{x}y} P \quad (\text{lab-out}) \qquad x(y).P \xrightarrow{xz} \{z/y\}P \quad (\text{lab-in})$$

$$\frac{P \xrightarrow{\alpha} P'}{P \mid Q \xrightarrow{\alpha} P' \mid Q} \text{if } \text{bn}(\alpha) \cap \text{fn}(Q) = \emptyset \quad (\text{lab-par-l})$$

$$\frac{P \xrightarrow{\alpha} P'}{\nu y.P \xrightarrow{\alpha} \nu y.P'} \text{if } y \notin \text{n}(\alpha) \quad (\text{lab-new})$$

$$\frac{P \xrightarrow{\bar{x}y} P'}{\nu y.P \xrightarrow{\bar{x}(y)} P'} \text{if } y \neq x \quad (\text{lab-open})$$

$$\frac{P \xrightarrow{\bar{x}y} P' \quad Q \xrightarrow{xy} Q'}{P \mid Q \xrightarrow{\tau} P' \mid Q'} \quad (\text{lab-comm-l})$$

$$\frac{P \xrightarrow{\bar{x}(y)} P' \quad Q \xrightarrow{xy} Q'}{P \mid Q \xrightarrow{\tau} \nu y.(P' \mid Q')} \text{if } y \notin \text{fn}(Q) \quad (\text{lab-close-l})$$

$$\frac{P \mid !P \xrightarrow{\alpha} P'}{!P \xrightarrow{\alpha} P'} \quad (\text{lab-bang})$$

plus symmetric rules (lab-par-r), (lab-comm-r), (lab-close-r).

# Labelled transitions and structural congruence

*Theorem:*

1.  $P \longrightarrow P'$  iff  $P \xrightarrow{\tau} \equiv P'$ .
2.  $P \equiv \xrightarrow{\alpha} P'$  implies  $P \xrightarrow{\alpha} \equiv P'$

*Exercise:* Why does the converse of the second not hold?

*Exercise:* Show that the following pair of processes are both in  $(\longrightarrow)$  and  $(\xrightarrow{\tau} \equiv)$ :

$$\nu z. \bar{x}z \mid x(u). \bar{y}u \quad \nu z. \bar{y}z$$

## Fun with side conditions

*Exercise:* Show that the side condition on (lab-par-l) is necessary by considering the process  $\nu y. (\bar{x}y. y(u)) \mid \bar{z}v$  and an alpha variant.

# Strong bisimulation

A relation  $\mathcal{R}$  is a strong bisimulation if for all  $(P, Q) \in \mathcal{R}$  and  $P \xrightarrow{\alpha} P'$ , where  $\text{bn}(\alpha) \cap \text{fn}(Q) = \emptyset$ , there exists  $Q'$  such that  $Q \xrightarrow{\alpha} Q'$  and  $(P', Q') \in \mathcal{R}$ , and symmetrically.

Strong bisimilarity  $\sim$  is the largest strong bisimulation.

# Bisimulation proofs

*Theorem:*  $P \equiv Q$  implies  $P \sim Q$ .

Can you think of a counterexample to the converse?

Some easy results:

1.  $P \mid \mathbf{0} \sim P$
2.  $\bar{x}y.\nu z.P \sim \nu z.\bar{x}y.P$ , if  $z \notin \{x, y\}$
3.  $x(y).\nu z.P \sim \nu z.x(y).P$ , if  $z \notin \{x, y\}$
4.  $!\nu z.P \not\sim \nu z.!P$  for some  $P$

More difficult:

1.  $\nu x.P \mid Q \sim \nu x.(P \mid Q)$
2.  $!P \mid !P \sim !P$
3.  $P \sim Q$  implies  $P \mid S \sim Q \mid S$

## Adding sum

$P ::= M$	sum
$P \mid P$	parallel (par)
$!P$	replication (bang)
$M ::= \bar{x}y.P$	output
$x(y).P$	input ( $y$ binds in $P$ )
$M + M$	sum
$0$	

Change structural congruence to treat  $+$  as associative and commutative with identity  $0$ .

Change reduction:  $(\bar{x}y.P + M) \mid (x(u).Q + N) \longrightarrow P \mid \{y/u\}Q$ .

Change labelled transition:  $M + \bar{x}y.P + N \xrightarrow{\bar{x}y} P$

$M + x(y).P + N \xrightarrow{xz} \{z/y\}P$

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